

# Relic Neutrinos in the Cosmic Microwave and Gravitational Backgrounds

Sergei Bashinsky, ICTP, Trieste

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# Motivation

- **Neutrinos** were among the dominant cosmological species during the radiation era ( $\rho_\nu/\rho_{\text{tot}} = 41\%$ )
  - CMB perturbations interact gravitationally with all the dominant species during the horizon entry
  - The **CMB** perturbations on the scales of all the CMB acoustic peaks enter the horizon in the radiation era
    - During the horizon entry **gravity waves** interact with free streaming dominant species
    - Neutrinos free stream since their decoupling at  $z \sim 10^{10}$

# Basics: Relic neutrinos

- After neutrino **freeze out** at  $T \sim 1 \text{ MeV}$  ( $z \sim 10^{10}$ ,  $H^{-1} \sim 0.1 \text{ kpc}$ ), **free streaming**

$$f(\tau, x^i, P_i): \quad \dot{f} + V^i \frac{\partial}{\partial x^i} f + F_i \frac{\partial}{\partial P_i} f = 0$$

- Source of gravitational field

$$T_{\mu\nu} = \int \frac{d^3 P_i}{\sqrt{-g}} \frac{P_\mu P_\nu}{P^0} f$$

- Momentum distribution, set at freeze out, is *almost* thermal Fermi-Dirac.

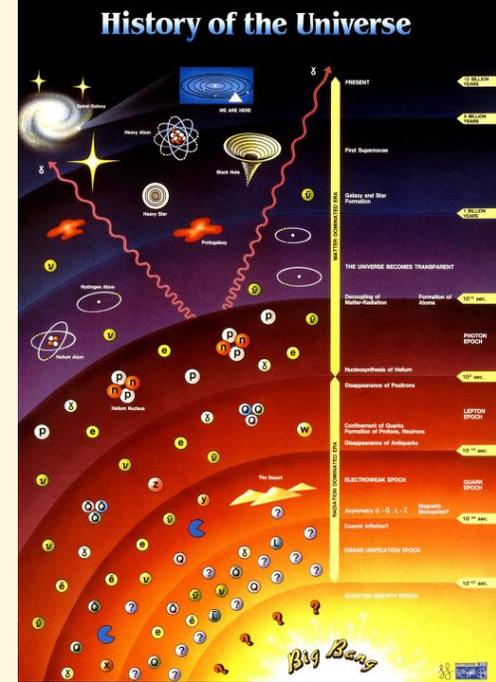
- While  $T \gg m_\nu$ 

$$N_{\nu \text{ eff}} \equiv \frac{\rho_\nu}{\frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_\gamma} \approx 3.04$$

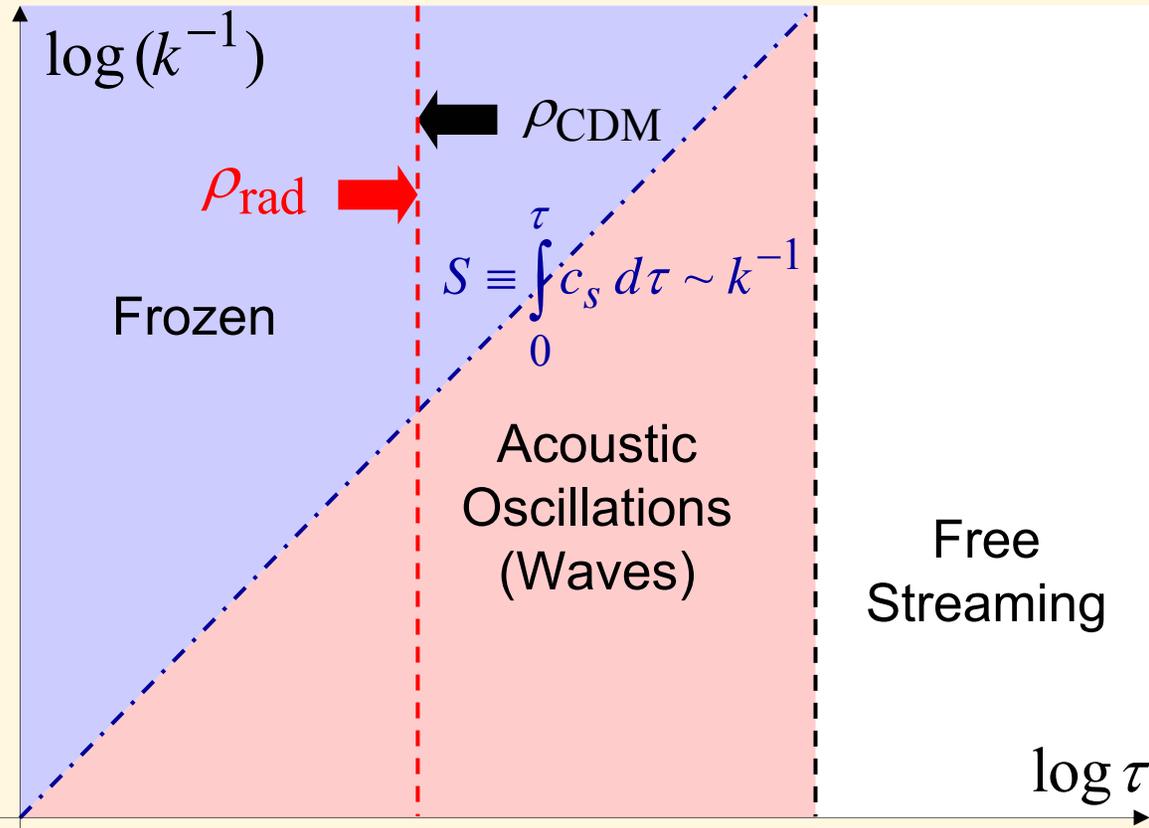
Fermions  $\nearrow$   
Freeze out before  $e^-e^+ \rightarrow \gamma\gamma$   $\nearrow$

(Compare for  $T \gg m_\nu$

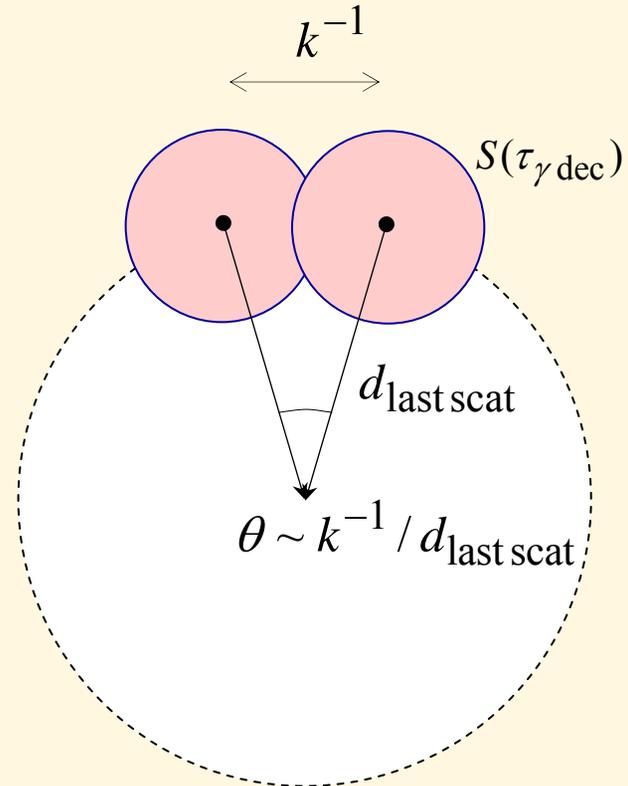
$$\rho_\nu = \sum_f n_f m_f$$



# Basics: Anisotropy of the Cosmic Microwave Background<sup>4</sup>



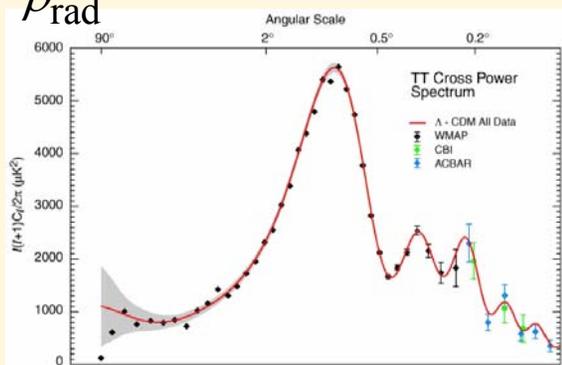
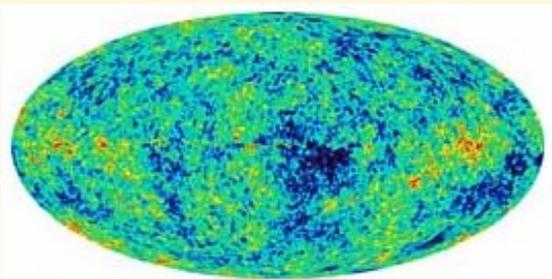
$$z_{\text{eq}} + 1 = \frac{\rho_{c+b,0}}{\rho_{\gamma,0}} \left(1 - \frac{\rho_{\nu}}{\rho_{\text{rad}}}\right) \quad z_{\gamma \text{ dec}} \approx 1090$$



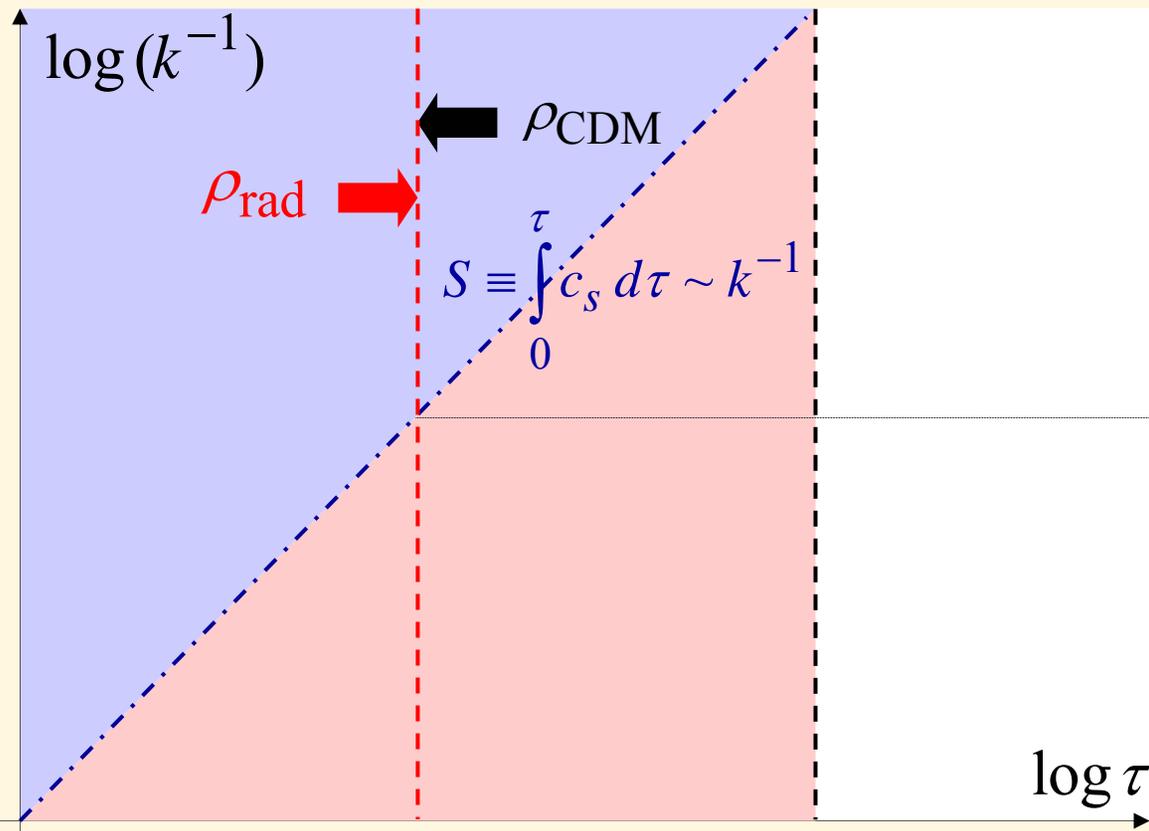
$$C_l^{XY} = \langle \Delta X_l \Delta Y_l \rangle$$

Intensity (Temperature)      Polarization

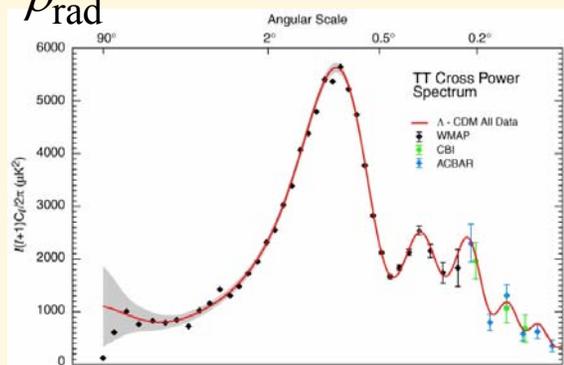
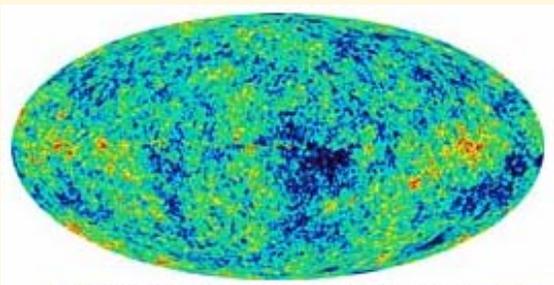
$$X, Y = (T, E, B)$$



# CMB anisotropy as a probe of neutrinos: General notes



$$z_{\text{eq}} + 1 = \frac{\rho_{c+b,0}}{\rho_{\gamma,0}} \left(1 - \frac{\rho_{\nu}}{\rho_{\text{rad}}}\right) \quad z_{\gamma \text{ dec}} \approx 1090$$



- As long as all  $\nu$  are *ultrarelativistic* and *decoupled*, their impact on the CMB is fully parameterized by  $N_{\text{eff}}$
- Expect  $\nu$  signatures for  $l > S^{-1}(\tau_{\text{eq}}) d_{\text{last scat}} \sim 200$
- These signatures are particularly sensitive to  $z_{\text{eq}}$
- \* *Mild corrections* around the 1<sup>st</sup> peak ( $l \approx 220$ ) due to the *early ISW effect*
- \* Additional late-time signatures of  $m_{\nu}$  due to the *lensing of CMB by LSS*

# CMB as a probe of neutrinos: Sources of signatures

- Background expansion

$$H^2 = \frac{8\pi G}{3} (\rho_{\gamma b} + \rho_{\nu} + \rho_{CDM} + \rho_{DE} + \rho_K)$$

- Primary perturbations

$$d_{\gamma} \equiv \frac{\Delta n_{\gamma}}{n_{\gamma}} \rightarrow 3 \frac{\Delta T_{\text{CMB}}}{T_{\text{CMB}}} : \quad \ddot{d}_{\gamma} + \mathcal{H}(1 - 3c_s^2) \dot{d}_{\gamma} - c_s^2 \nabla^2 d_{\gamma} = \nabla^2 (\Phi + 3c_s^2 \Psi)$$

$$ds^2 = a^2 \left[ -(1 + 2\Phi) d\tau^2 + (1 - 2\Psi) dx^2 \right]$$

- Secondary perturbations

- lensing of the CMB  $\Delta \tilde{T}_{\text{CMB}}(\hat{n}) = \Delta T_{\text{CMB}}(\hat{n} + \nabla\phi)$

$$\phi = - \int_0^{r_{\text{ls}}} dr \frac{d_A(r_{\text{ls}} - r)}{d_A(r) d_A(r_{\text{ls}})} (\Phi + \Psi)$$

# CMB as a probe of neutrinos: Background density

$\rho_\nu$  causes:

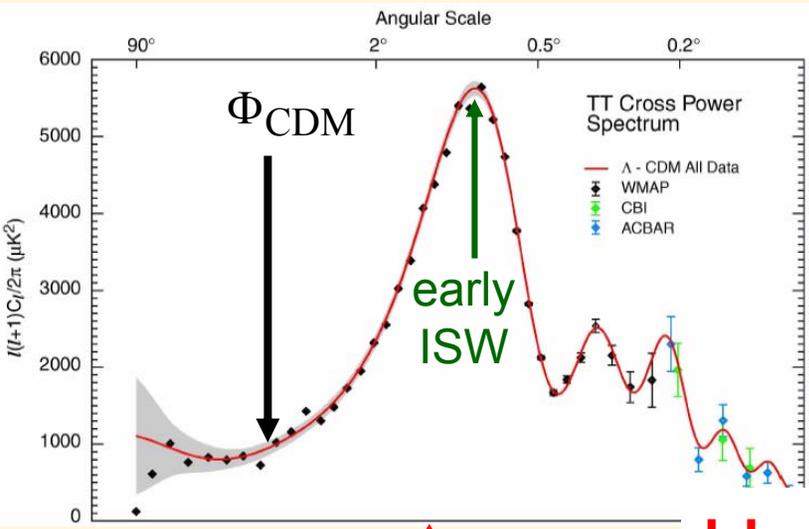
A. Faster Hubble expansion in the radiation era

$$\frac{d\tau}{dz} = -\frac{1}{H} \quad \downarrow$$

$$- S_{\text{acoustic}} = \int_0^{\tau_{\gamma \text{ dec}}} c_s d\tau \quad \downarrow$$

$$- \lambda_{\text{Silk}} = \left( \int_0^{\tau_c} A \tau_c d\tau \right)^{1/2}, \quad \tau_c \equiv \frac{1}{an_e \sigma_{\gamma e}} \quad \downarrow$$

B. Prolonged radiation era



- shifted  $l_{\text{eq}} = S^{-1}(\tau_{\text{eq}}) d_{\text{last scat}}$ :  
shifted scale of low- $l$  suppression
- decreased  $z_{\text{eq}}/z_{\gamma \text{ dec}}$ :  
larger early ISW contribution to the height of the 1st peak

**However!**

$\uparrow l_{\text{eq}}$

# CMB as a probe of neutrinos: Background degeneracies

- The typical CMB (and LSS) observables are

a) angles  $l_A = S^{-1}(\tau_{\gamma \text{ dec}}) d_{\text{last scat}}, \quad l_{\text{Silk}} = \lambda_{\text{Silk}}^{-1} d_{\text{last scat}}$   
 $l_{\text{eq}} = S^{-1}(\tau_{\text{eq}}) d_{\text{last scat}}$

b) redshifts  $z_{\text{eq}} / z_{\gamma \text{ dec}}$  Bashinsky and Seljak PRD 69, 2004  
Bashinsky, astro-ph/0411013

- All of these are preserved if the following ratios are unchanged:

$\rho_b \div \rho_\gamma$  [fixes  $c_s$ ]

$\rho_{\gamma+\nu} \div \rho_{c+b} \div \rho_{\text{DE}}$  at all  $z$  [fixes  $H(z)/H_0$ ]  
 (achieved by fixing  $z_{\text{eq}}, \Omega, \Omega_m, w_{\text{DE}}$ )

$1 - Y_p \div \sqrt{\rho_{\gamma+\nu}}$  [fixes  $\tau_c H(z) = \frac{H}{ax_e(1-Y_p) \frac{\rho_b}{m_H} \sigma_{\gamma e}}$ ]

[with recombination via  $2s \rightarrow 1s \gamma\gamma$  bottleneck,  $x_e$  is also degenerate]

conformal  
rescaling

- The conformal degeneracy is broken by measuring  $H_0$  or  $Y_p$

# CMB as a probe of neutrinos: Primary perturbations

- The gravitationally coupled dynamics of  $\gamma$  fluid and free streaming  $\mathbf{v}$  in the radiation era is solvable analytically:

$$d_\gamma \equiv \frac{\Delta n_\gamma}{n_\gamma} \rightarrow 3 \frac{\Delta T_{\text{CMB}}}{T_{\text{CMB}}} : \quad \ddot{d}_\gamma - \frac{1}{3} \nabla^2 d_\gamma = \nabla^2 (\Phi + \Psi)$$

In position (real) space

$$d(\tau, k) = \int_{-\infty}^{\infty} e^{-ikx} d(\tau, x) \quad d(\tau \rightarrow 0, k) = 1 \quad \Leftrightarrow \quad d(\tau \rightarrow 0, x) = \delta(x)$$

eq. (1) becomes

$$\left( \frac{x^2}{\tau^2} - \frac{1}{3} \right) d_\gamma = \Phi + \Psi$$

which solution is

$$d_\gamma = \frac{A_\gamma}{2} \delta\left(|x| - \frac{\tau}{\sqrt{3}}\right) + \frac{\Phi + \Psi}{\frac{x^2}{\tau^2} - \frac{1}{3}}$$

# CMB as a probe of neutrinos: CMB perturbations after the horizon reentry

The solution

determines the  
subhorizon acoustic modes

$$d_\gamma = \frac{A_\gamma}{2} \delta\left(|x| - \frac{\tau}{\sqrt{3}}\right) + \frac{\Phi + \Psi}{\frac{x^2}{\tau^2} - \frac{1}{3}}$$

Bashinsky and Seljak 04

$$d_\gamma(\tau, k) = \int_{-\infty}^{\infty} e^{-ikx} d_\gamma(\tau, x) \rightarrow A_\gamma \cos\left(\frac{k\tau}{\sqrt{3}} - \pi\sqrt{3}(\Phi + \Psi)\Big|_{x=\frac{\tau}{\sqrt{3}}}\right) \sin\left(\frac{k\tau}{\sqrt{3}}\right)$$

- solves the subhorizon acoustic equation

$$\ddot{d}_\gamma + \frac{1}{3}k^2 d_\gamma = 0$$

- $A_\gamma$  is fixed by the initial condition

$$d(\tau \rightarrow 0, k) = 1 \Leftrightarrow d(\tau \rightarrow 0, x) = \delta(x),$$

by which

$$\int_{-\infty}^{\infty} d(\tau, x) = d(\tau, k \rightarrow 0) = 1 \quad \forall \tau$$

- $\Phi + \Psi$ , hence the phase shift, is determined from linearized Einstein eqs. by all species, including  $\nu$

# CMB as a probe of neutrinos: Neutrino perturbations

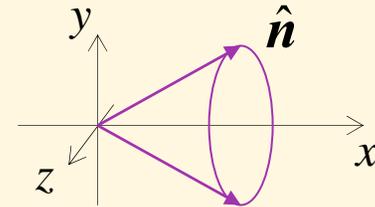
Linear perturbation of  $\nu$  intensity in a direction  $n_i$

$$I_\nu \equiv \frac{\Delta I_\nu}{I_\nu}, \quad I_\nu(x^\mu, \hat{n}) \equiv \int_0^\infty P^3 dP f_\nu(\hat{n}P)$$

$$\dot{I}_\nu + n_i \nabla_i I_\nu = -4 n_i \nabla_i (\Phi + \Psi)$$

Find scalar modes in the radiation era as self-similar Green's functions:

$$\left( \frac{x}{\tau} - \mu \right) I_\nu = 4 \mu (\Phi + \Psi), \quad \mu \equiv n_x$$

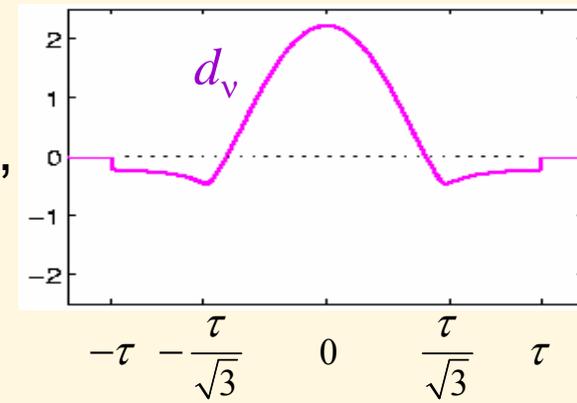


$$I_\nu = A_\nu \delta \left( \frac{x}{\tau} - \mu \right) + \frac{4 \mu}{\frac{x}{\tau} - \mu} (\Phi + \Psi),$$

( $\rho_\nu/\rho \rightarrow 0$ )

Expanding  $I_\nu(\mu)$  over scalar multipoles  $l$  ( $m=0$ ),

$$d_\nu = \frac{3}{4} \int \frac{d\mu}{2} I_\nu, \quad \Sigma_\nu = \frac{3}{4} \int \frac{d\mu}{2} P_2(\mu) I_\nu$$



# Compare:

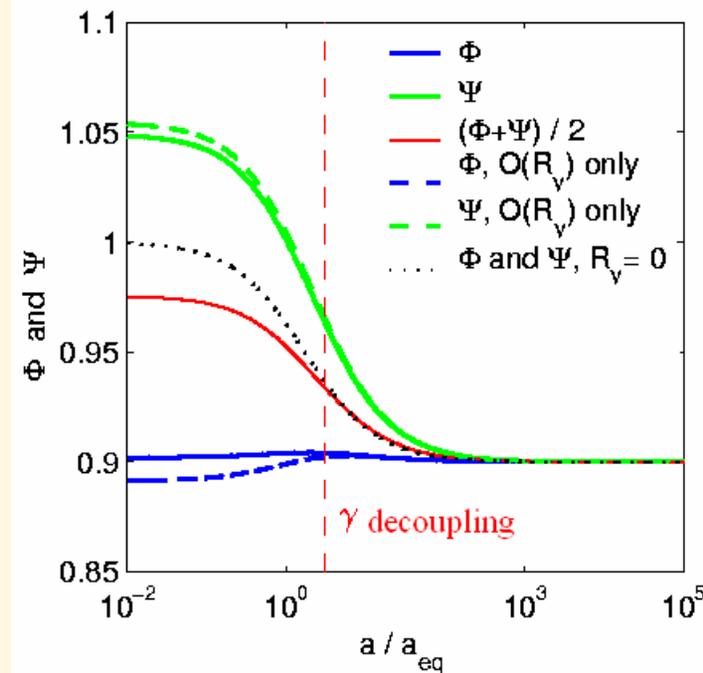
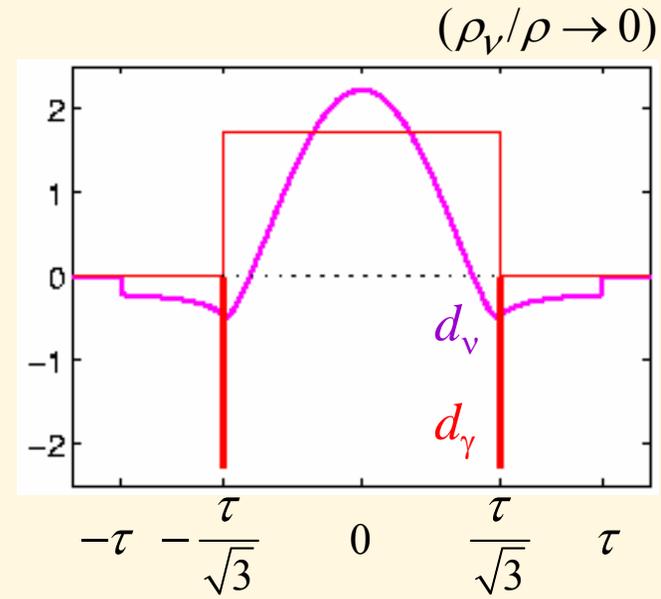
## Relativistic free streaming $\nu \neq$ Coupled $\gamma$

1. **Damping** of neutrino inhomogeneities on subhorizon scales due to **directional dispersion**

$$d_\nu = \frac{\sin(k\tau)}{k\tau} e^{ik \cdot x} \quad d_\gamma = \cos\left(\frac{k\tau}{\sqrt{3}}\right) e^{ik \cdot x}$$

$(\rho_\nu/\rho \rightarrow 0)$

2. Propagation with  $c = 1$  vs.  $c_s < 3^{-1/2}$
3. **Different phase** of the oscillations
4. **Anisotropic stress**, which gravitates (hence,  $\Phi \neq \Psi$ )



# CMB as a probe of neutrinos: Neutrino signatures in CMB

( $\rho_\nu/\rho \rightarrow 0$ )

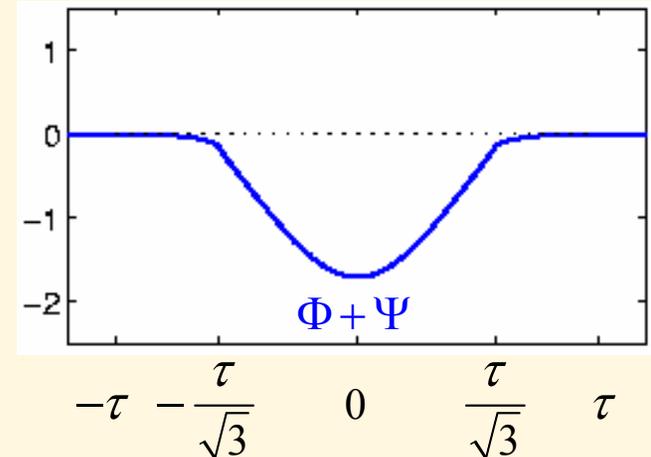
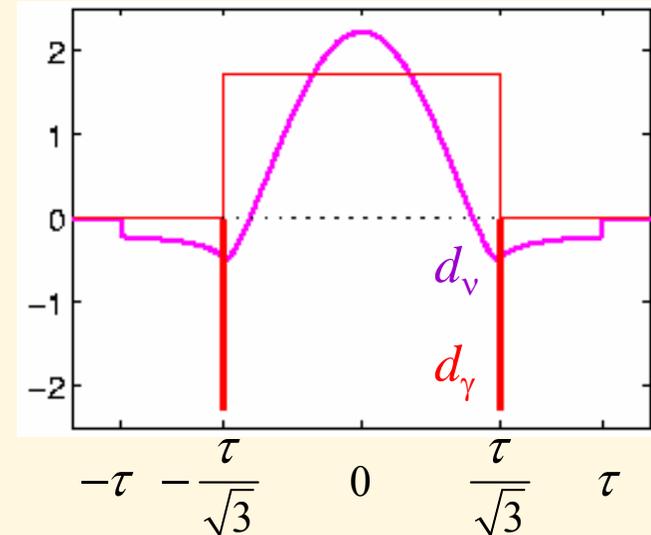
- Given  $d_\nu$ ,  $d_\gamma$  and  $\Sigma_\nu$ , find  $\Phi + \Psi$  by solving the linearized Einstein eqs.
- Thus for subhorizon CMB oscillations

$$d_\gamma(\tau, k) \rightarrow A_\gamma \cos \frac{k\tau}{\sqrt{3}} - \pi\sqrt{3} (\Phi + \Psi) \Big|_{x=\frac{\tau}{\sqrt{3}}} \sin \frac{k\tau}{\sqrt{3}}$$

find the **amplitude** reduction and **phase** shift

$$\frac{\Delta A_\gamma}{A_\gamma} \approx -0.27 \frac{\rho_\nu}{\rho}, \quad \Delta\phi \approx 0.19 \pi \frac{\rho_\nu}{\rho}$$

- Theorem: For *adiabatic* perturbations, in real space,  $\Phi \equiv \Psi \equiv 0$  beyond the **particle horizon**
- Corollary:  $\Delta\phi = 0$  unless some matter perturbations propagate faster than  $c_s$



# CMB as a probe of neutrinos: Non-degenerate signatures of neutrinos

- Appear in the CMB temperature and polarization angular power for

$$l > S^{-1}(\tau_{\text{eq}}) d_{\text{last scat}} \sim 200$$

- Introducing

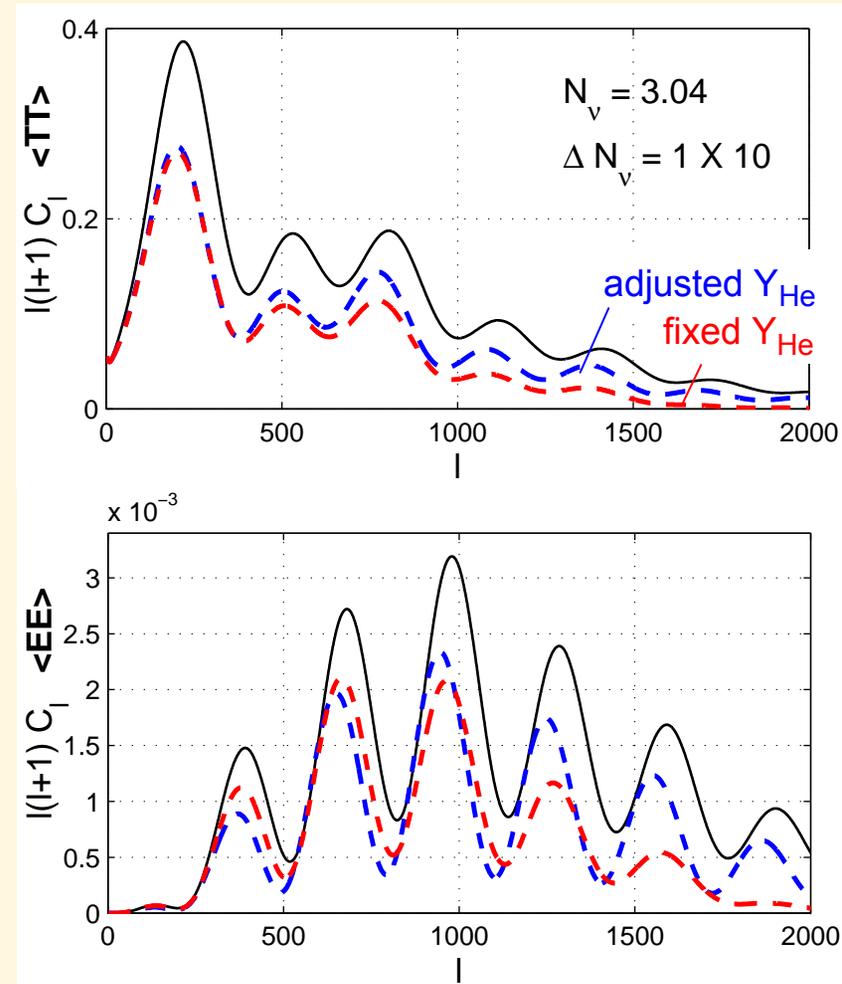
$$R_{\nu} \equiv \frac{\rho_{\nu}}{\rho_{\text{rad}}} \quad (N_{\nu} \approx 3 : R_{\nu} \approx 0.41),$$

$$\delta l_{\text{peaks}} \approx -57 R_{\nu} \quad (\text{all peaks})$$

$$\delta C_l / C_l \approx -0.5 R_{\nu}, \quad \text{adjusted } Y_{\text{He}} \text{ (any } l)$$

$$-1.8 R_{\nu}, \quad \text{fixed } Y_{\text{He}} \text{ (} l = 3000)$$

$$\delta P_m / P_m \approx +1.5 R_{\nu}$$



The compared models have equal CMB power on small scales

# CMB as a probe of neutrinos: Constraints and Forecasts

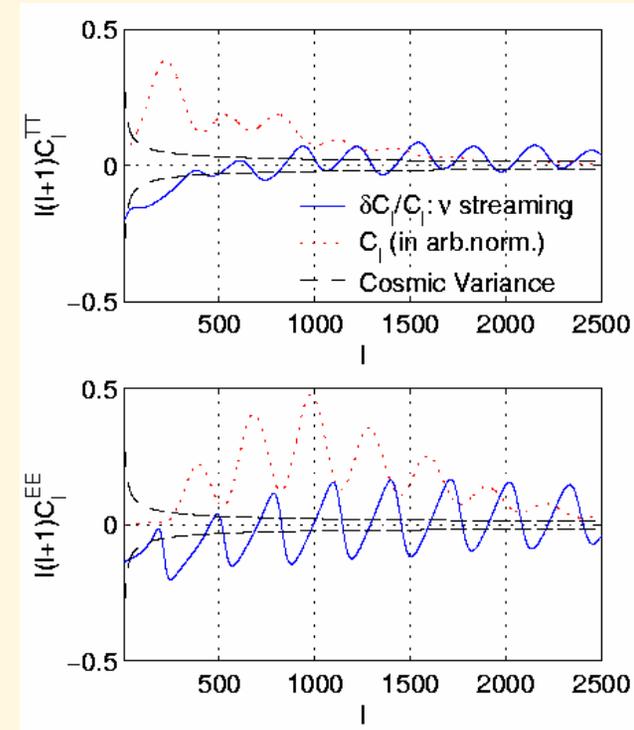
- Current limits

Crotty et al. 03  
 Pierpaoli 03  
 Hannestad 03  
 Barger et al. 03,04

$$1.6 < N_\nu < 7-8$$

(CMB+LSS, 95%CL)

The constraints will significantly improve with smaller angular resolution



- Forecasts

Lopez et al. 99  
 Bowen et al. 01

$$\Delta N_\nu \sim 0.2$$

with Planck ( $1\sigma$ )

Bashinsky and Seljak 03

Experiment	$f_{\text{sky}}$	$\theta_b$	$w_T^{-1/2}$ [ $\mu\text{K}'$ ]	$w_P^{-1/2}$ [ $\mu\text{K}'$ ]	$\Delta N_\nu$ TT	$\Delta N_\nu$ TT+TE+EE	$\Delta N_\nu$ (free $Y$ ) TT+TE+EE
Planck	0.8	7'	40	56	0.6	0.20	0.24
ACT	0.01	1.7'	3	4	1	0.47	0.9
ACT + Planck					0.4	0.18	0.24
CMBPOL	0.8	4'	1	1.4	0.12	0.05	0.09

# CMB anisotropy as a probe of neutrino models

- Discriminate models by
  - $\rho_\nu / \rho_\gamma$
  - neutrino free streaming / coupling
- Examples of non-standard  $\rho_\nu / \rho_\gamma$ 
  - sterile states which decouple early (small  $\Delta N_\nu$ , passing BBN)
  - $\nu$  ( $\gamma$ ) heating / cooling after BBN (changed  $\Delta N_\nu$ , after BBN)

# CMB anisotropy as a probe of neutrino models

- Discriminate models by
  - $\rho_\nu / \rho_\gamma$
  - neutrino free streaming / coupling
- Examples of neutrino recoupling after BBN
  - Low energy symmetry breaking in the neutrino sector
    - alternative to the seesaw for  $m_\nu$  Chacko et al. 03
    - avoid LSS constraints on  $m_\nu$  Beacom et al. 04; Chacko et al. 04
    - reconcile LSND with the other data Chacko et al. 04
  - Composite neutrinos Arkani-Hamed and Grossman 98; Okui 04
  - Mass-varying neutrinos Fardon et al. 03
  - Coupling to Lorentz-violating sector Grossman 05

These models are already constrained by the CMB

# Basics: Primordial Gravitational Waves

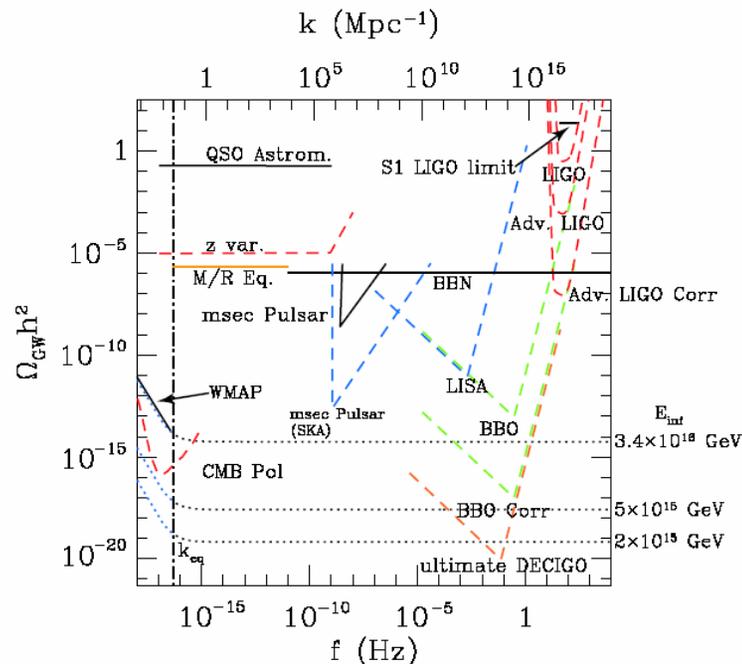
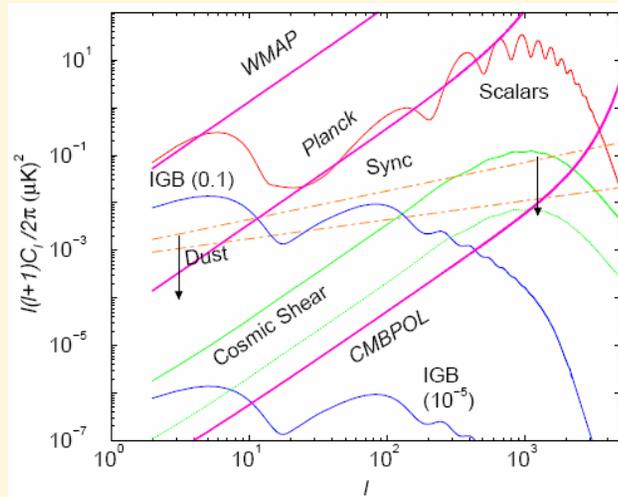
$$ds^2 = a^2 \left[ -d\tau^2 + (\delta_{ij} + h_{ij}) dx^i dx^j \right]: \quad \partial_i h_{ij} = 0, \quad \sum_i h_{ii} = 0$$

- Produced by inflation
- Subsequent evolution

$$\Delta_h^2 \equiv \frac{d \langle h^2 \rangle}{d \log k} = \frac{H_{\text{infl}}^2}{2\pi^2 M_{\text{Pl}}^2}$$

$$\ddot{h}_{ij} + 2\mathcal{H}\dot{h}_{ij} - \nabla^2 h_{ij} = 16\pi G a^2 \Sigma_{ij}, \quad \Sigma_j^i \equiv T_j^i - \frac{1}{3} \delta_j^i T_k^k$$

is sourced by anisotropic stress, generated by free streaming



Cooray 05

Smith,  
Kamionkowski,  
Cooray 05

- Should be detected if  $\rho_{\text{infl}} > 10^{15-16} \text{ GeV}$

# Gravitational Waves: Coupled GW- $\nu$ evolution

$$\ddot{h}_{ij} + 2\mathcal{H}\dot{h}_{ij} - \nabla^2 h_{ij} = 16\pi G a^2 \Sigma_{ij} \quad (1)$$

$$\dot{I}_\nu + n_k \nabla_k I_\nu = 2n_k n_i n_j h_{ij,k}$$

$$\Sigma_{ij}(\tau, x) = -\frac{\rho_\nu}{4} \int_0^\tau d\tau' \int_{-1}^1 d\mu (1-\mu^2)^2 \dot{h}_{ij}(\tau', x') \quad (2) \quad \text{Weinberg 03}$$

- Consider subhorizon scales, where GWs decouple from neutrinos

$$h_{\text{subhor}} \propto \frac{\sin(k\tau - \varphi_0)}{a}$$

The **phase shift** and **amplitude** are determined by the coupled evolution through the horizon entry

# Gravitational Waves: Radiation era – Phase shift

Fourier space:

$$h_{\text{rad}}(k\tau) = A_0 \frac{\sin(k\tau - \varphi_0)}{k\tau} + O\left(\frac{1}{k^2\tau^2}\right)$$

Position space:

$$h_{\text{rad}}(\tau, x) = \frac{A_0}{2\tau} \left[ \cos \varphi_0 \theta\left(1 - \left|\frac{x}{\tau}\right|\right) + \frac{\sin \varphi_0}{\pi} \ln\left(1 - \frac{x^2}{\tau^2}\right) \right] + \text{reg. terms}$$

By causality,

$$h_{ij}(\tau, x) = 0 \quad \text{for} \quad |x| > \tau \quad (\text{confirmed by direct calculation})$$

Hence

$$\sin \varphi_0 = 0$$

Bashinsky 05

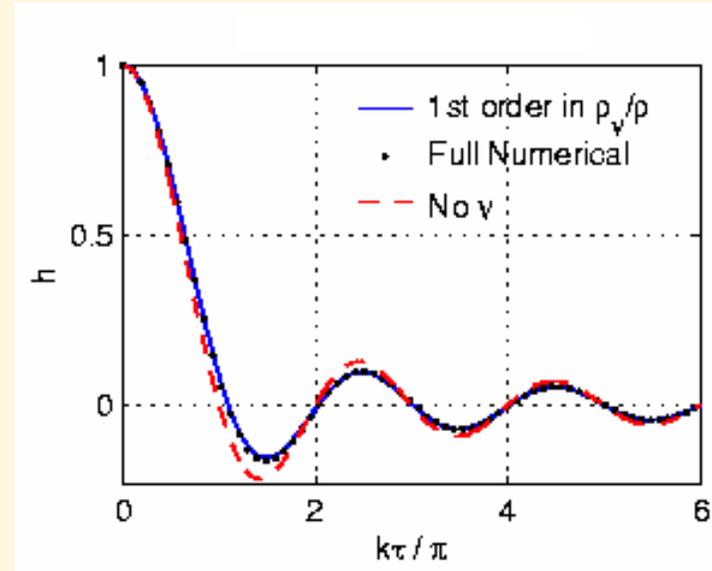
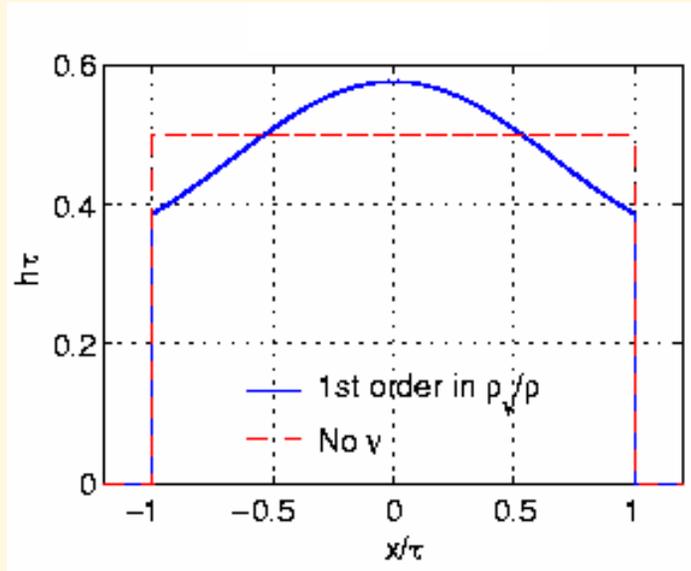
# Gravitational Waves: Radiation era – Full evolution

- Calculate analytically in linear order in  $\rho_v/\rho$

Position space



Fourier space

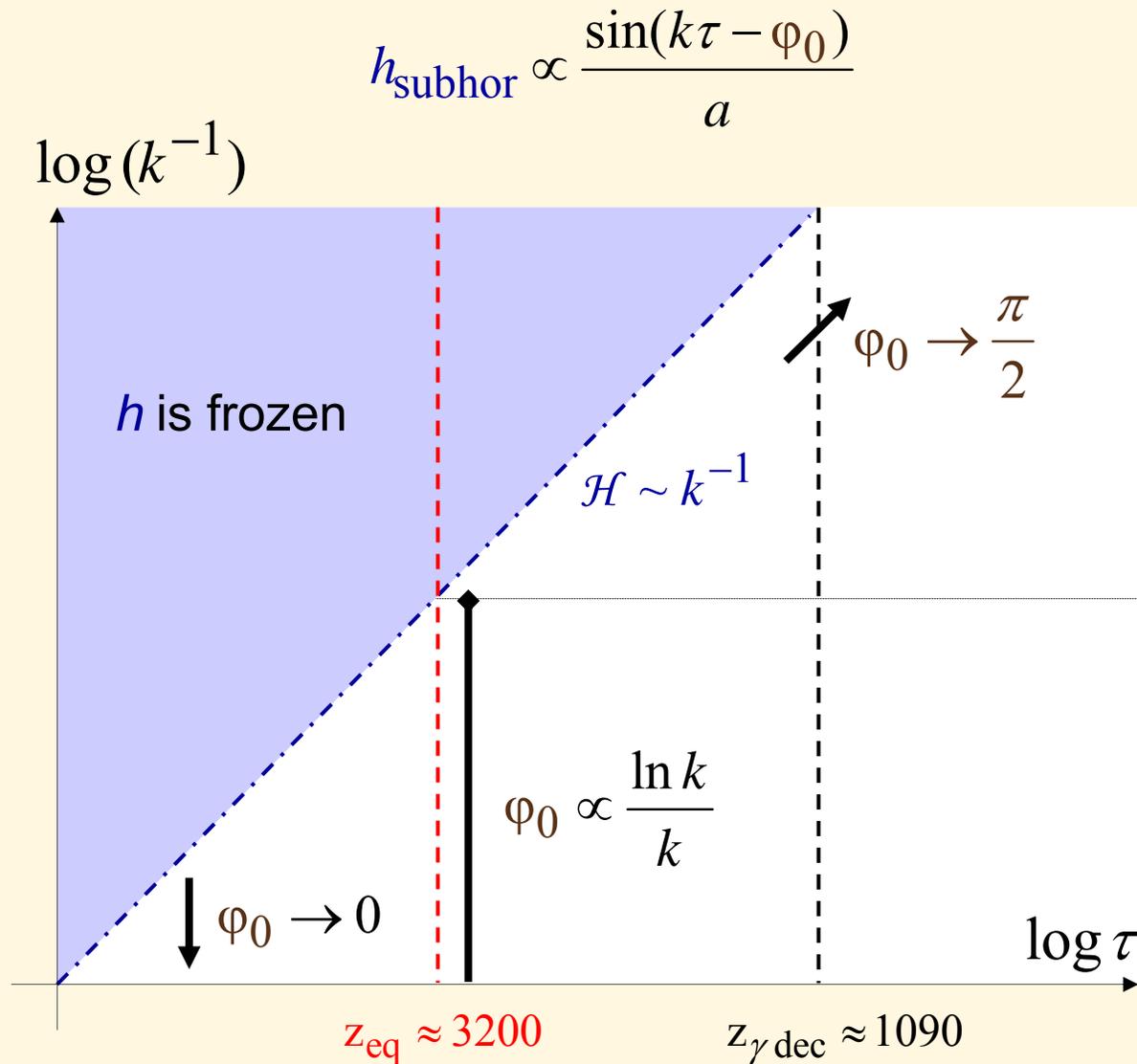


- The solution determines subhorizon GW **amplitude** as

$$h_{\text{rad}}(k\tau) \rightarrow h_{\text{prim}} \left[ 1 - \frac{5\rho_v}{9\rho} + O\left(\frac{\rho_v^2}{\rho^2}\right) \right] \frac{\sin(k\tau)}{k\tau}$$

(  $N_\nu = 3.04$  gives 23% suppression, double for power spectra)

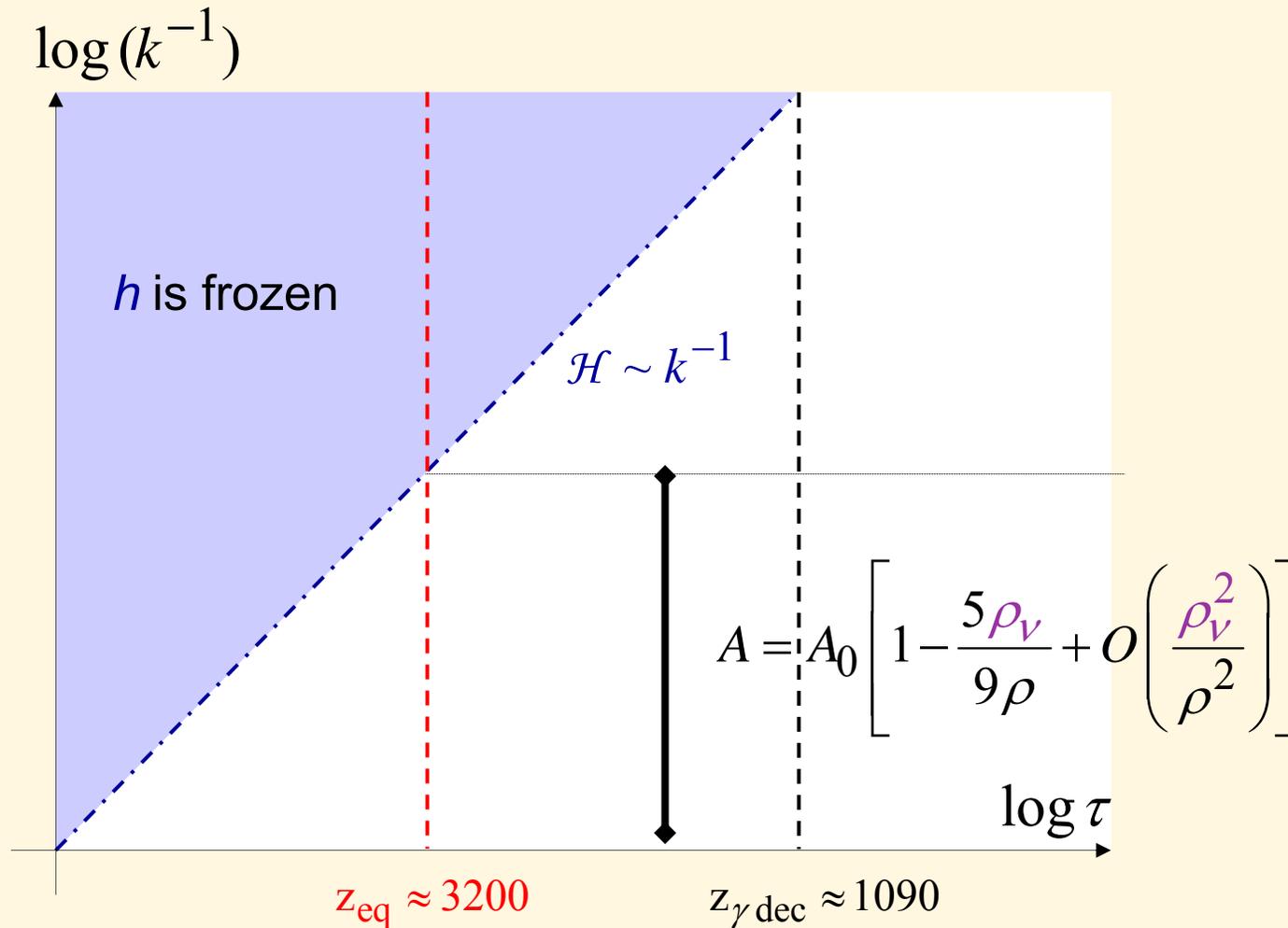
# Gravitational Waves: Phase



\* **Neutrinos** have minor effect around  $k \sim k_{\text{eq}}$

# Gravitational Waves: Amplitude

Suppressed by **neutrinos** for  $k_{\nu \text{dec}}^{-1}$  ( $\sim 0.1$  kpc)  $< k^{-1} < k_{\text{eq}}^{-1}$  ( $\sim 100$  Mpc)



## Conclusions:

- Relativistic  $\nu$ s were among the dominant species when most of the perturbation modes ( $k^{-1} < 10^2$  Mpc) entered the horizon
- The present **CMB** constraints on  $\nu$  are relatively weak due to
  - a) Full degeneracy of the impact of *homogeneous* radiation background on the CMB and LSS
  - b) Neutrinos being relativistic up to CMB decoupling
- Nevertheless, the robustness of the signatures of  $\nu$  perturbations at high  $l$  and precision of CMB measurements will lead to competitive constraints on neutrinos since Planck
- The robust CMB signatures of relativistic  $\nu$ s are caused by their free streaming *faster* than the photon-baryon sound speed
- $\nu$  free streaming suppresses **GW** *without* shifting GW phase